



## Portfolio Managed Services

### Schedule of Commissions & Charges

Effective from 1 January 2018

#### Discretionary Managed

Portfolio Managed Fee . . . . . 1.00%  
Minimum (€500 charged quarterly) . . . . . €2,000

Commission Rate . . . . . 0.75%  
Minimum . . . . . No minimum

All in rate (Fee & Commission) . . . . . 1.25% \*

\* ancillary and 3<sup>rd</sup> party charges will still apply

**Account Fees are subject to VAT**

#### Advisory Managed

Portfolio Managed Fee . . . . . 1.25%  
Minimum (€750 charged quarterly) . . . . . €3,000

Commission Rate . . . . . 1.00%  
Minimum . . . . . €100

**Account Fees are subject to VAT**

#### Ancillary Charges

Agency charge . . . . . see overleaf  
Settlement charge (non-CREST) . . . . . €40  
Security transfer charge . . . . . €40  
Bank transfer charge . . . . . €25  
Third party bank transfer . . . . . €100  
Register a lien - initial charge . . . . . €500  
- annual charge . . . . . €250  
Replacement valuation pack . . . . . €100  
Replacement tax pack . . . . . €100  
Cheque cancellation / reissue . . . . . €100  
Replacement share certificate charge . . . . . €100 \*  
Ad hoc investigation fee . . . . . €50 per hour

LEI application (provider fee) . . . . . €160 + VAT  
LEI annual renewal (provider fee) . . . . . €110 + VAT

\* Additional third party indemnity charges will apply & can vary.

#### Probate Charges:

Probate valuations . . . . . 0.1% / minimum €750  
Stock transfer into executors name . . . . . €50 per stock

#### Stamp Duty & Taxes:

*These are taxes on purchases of Irish & UK shares imposed by the Irish & UK Revenue authorities respectively. There is no stamp duty chargeable on US and European share purchases.*

Irish Equities . . . . . 1.00%  
UK Equities . . . . . 0.50%

ITP Levy (Irish Takeover Panel)  
charged on Irish transactions over €12,500 . . . . . €1.25

PTM Levy (UK Panel on Takeovers & Mergers)  
charged on UK transactions over £10,000 . . . . . £1.00

**Financial Transaction Tax (FTT)** is applied to particular transactions by local governments in certain European countries. The rate varies depending on the particular country and investment. All such fees will be set out separately on your contract note.

*Please note that other taxes may be charged in certain jurisdictions and these will be passed on when incurred.*



## Additional Notes

### Portfolio Fees

Fees will be charged quarterly to your account on a pro rata basis in arrears, based on the portfolio value at each quarter end. Invoices are available on request.

### Third Party Commission

Brewin Dolphin may receive commission and/or fees for placing funds/investments with other financial institutions such as life assurance companies, banks, fund managers and other entities. Details of fees and commissions received or paid to a third party will be disclosed to you in advance. Commission for placing funds on deposit with banks may be up to a maximum of 0.50%.

### Secondary Issues (e.g. Open Offers, Right Issues and Placings)

Secondary issues will be charged per our agreed commission rates.

### Foreign Exchange Transactions

Where Brewin Dolphin execute a trade on your behalf and you wish to settle in a currency other than the traded currency, or you wish to convert an amount on your account to another currency, the rate used will include a margin up to a maximum of 0.90% to cover the cost and exchange rate risk borne by Brewin Dolphin, if an FX conversion is undertaken.

### Intermediary Arrangements

Where orders are transmitted to Brewin Dolphin for execution on your behalf through an intermediary or financial advisor, the commission charged may be shared with the intermediary subject to certain conditions. Similarly if funds are introduced to Brewin Dolphin on your behalf for portfolio management purposes, the fees charged may be shared with the introducing intermediary or financial advisor. All fee and commission sharing arrangements will be disclosed to you in advance.

### Investment Funds & Structured Products

The charging structure for Investment Funds & Structured Products will vary depending on the nature and complexity of the Investment Fund or Structured Product and will be disclosed to you in advance.

### Agency Charges

Brewin Dolphin may incur overseas brokerage charges when executing your order. These fees may vary depending on the overseas market dealt and the local broker used. All fees will be set out separately on your contract note as an Agency Charge.

### Third Party Charges

Third party charges which arise will be charged to your account, and disclosed to you in advance.

All costs and charges associated with the manufacturing and managing of financial instruments will be disclosed in advance.

All charges set out on this Schedule of Commission & Charges are subject to change. The up-to-date schedule can be found on our website ([www.brewin.ie](http://www.brewin.ie)). Brewin Dolphin reserve the right to charge other fees or charges which will be agreed with you in advance.

## Bank details for your convenience

Euro		Sterling		US Dollars	
Bank:	Ulster Bank Limited	Bank:	Ulster Bank, Dublin	Bank:	Ulster Bank, Dublin
Address:	33 College Green, Dublin 2	Swift:	ULSBIE2D	Swift:	ULSBIE2D
Sort Code:	98-50-10	Sort Code:	98-50-05	Correspondent Bank:	Bank of New York
Account Name:	Brewin Dolphin Capital & Investments (Ireland) Ltd Client Asset Account	Correspondent Bank:	NatWest Bank	Address:	New York
Account Number:	07031172	Address:	Old Broad Street, London	Swift:	IRVTUS3N
SWIFT:	ULSBIE2D	Swift:	NWBKGB2L	Account Number:	98500590896202
IBAN:	IE87ULSB98501007031172	Account Number:	90896201	Account Name:	Brewin Dolphin Capital & Investments (Ireland) Ltd Client Asset Account
		Account Name:	Brewin Dolphin Capital & Investments (Ireland) Ltd Client Asset Account	IBAN:	IE29ULSB98500590896202
		IBAN:	IE56ULSB98500590896201		

[www.brewin.ie](http://www.brewin.ie)

Brewin Dolphin Capital & Investments (Ireland) Limited trading as Brewin Dolphin & Brewin Dolphin Ireland is regulated by the Central Bank of Ireland. A member of Euronext Dublin and the London Stock Exchange.

Registered in Ireland No. 223158

Registered Office: 3 Richview Office Park, Clonskeagh, Dublin 14.

Brewin Dolphin is a "Qualifying Fund Manager" (QFM) as defined in section 784A, Taxes Consolidation Act, 1997